

RECEIPT  
PATENT  
85CF-00125

SEP 28 2001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kubick et al.

Serial No.: 09/681,392

: Art Unit: 2176

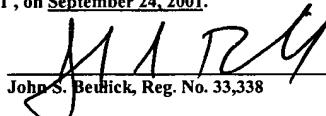
Filed: March 28, 2001

: Examiner:

For: SYSTEMS AND METHODS FOR  
CONDUCTING DUE DILIGENCE

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on September 24, 2001.

  
John S. Beulick, Reg. No. 33,338

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt in the present application be corrected to set forth the correct attorney docket number and the correct title. A copy of the filing receipt with the changes noted thereon is enclosed.

The correct attorney docket number is: 85CF-00125.

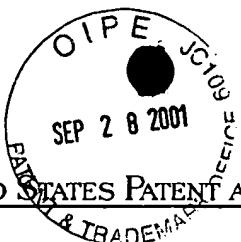
The correct title is: SYSTEMS AND METHODS FOR CONDUCTING DUE DILIGENCE.

Applicants further request that a new, corrected filing receipt be issued.

Respectfully submitted,

  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/681,392	03/28/2001	2176	1898	17243-00049	7	61	7

**85CF-00125**

**CONFIRMATION NO. 3350**

23465  
JOHN S. BEULICK  
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**UPDATED FILING RECEIPT**



\*OC000000006506906\*

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

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James F. Hogan JR., Rowayton, CT;  
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**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/197,143 04/14/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 05/15/2001

Projected Publication Date: 12/13/2001

Non-Publication Request: No

Early Publication Request: No

Title      Systems and methods  
Methods and systems for conducting due diligence

ENTERED  
Date: 9/10/01  
By: MCC  
17243-19

Preliminary Class

707

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Data entry by : WOLDEYES, TEQUEST

Team : OIPE

Date: 09/04/2001

[REDACTED]

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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